

**Year: 2011**

**CEP Name:** \_\_\_\_\_

CONTACT FOR THIS REPORT	
NAME:	
Title	
Telephone:	
Email:	

**Aggregator/Brokers need to complete Questions 2, 3, 5, 7, 11, 12, and 13 only**

1. Chapter 305 (2)(E)(1)(a) For each service territory served complete the chart shown on Attachment A for both Standard Offer and Non-Standard Offer Sales.
2. Chapter 305 (2)(E)(1)(c) – enforcement actions: List, with identifying case reference numbers, any enforcement action initiated or concluded against the licensee or an affiliated interest by any federal, state or local agency with respect to the sale of electricity or other energy-related product or service.
3. Chapter 305 (2)(E)(1)(d) – ownership or control: Report any changes in the licensee's ownership or control.
4. Chapter 305 (2)(E)(1)(e), Chapter 306(2)(H)(3)(a) – below please list and attach copies of disclosure labels available to customers during the reporting period. Please indicate which class of customers and the time period the label is applicable to.

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5. Do you offer or plan to offer a “green” (either green energy or RECs) product? If so, please provide a description of the product offered and copies of any materials promoting this product.
  
6. Chapter 305(2)(E)(1)(b and f), Chapter 306(2)(H)(3)(b and c) and Chapter 311 (6)(G)(1 to 4):
  - a. Please provide reports from GIS Administrator for service in the ISO-NE control area that demonstrates compliance with Maine Class I and Class II RPS.<sup>1</sup>
  
  - b. A description of the resources used to serve customers in the Maritimes control area and information verifying the accuracy of the resource portfolio and the emission characteristics associated with the resource portfolio.
  
  - c. Complete the Attachment C to show the source of energy supply used to serve customers in Maine.
  
  - d. Complete Attachment D to show the GIS certificates (RECs) (or energy) used to meet the Class I and Class II portfolio requirements, the average costs of the GIS certificates (RECs) (or energy) used, and any Alternative Compliance Payments made to meet the Class I Requirements.

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<sup>1</sup> Entities that have contractual rights to the output of transmission and distribution utility qualifying facility entitlements that have not been provided associated GIS certificates may use the contractual rights to the output of the entitlements to demonstrate compliance with the portfolio requirement.

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7. Chapter 305(4)(D) - During the year, did you provide or arrange for an electricity product in which the price to the customer varies with changes in energy prices or an energy price index? If so, please indicate whether you provided the market risk disclosure statement in the contract for service with the customer acknowledging the provision by signature or initials or on a document separate from the contract containing only the market risk disclosure statement.
8. Chapter 306(2)(H)(3)(d) – if you disaggregate your portfolio, provide the table requested in Item #9 showing any disaggregation during the year.
9. Chapter 311 (6)(G)(1 to 2) – provide the following information:

Information required:	Total – Megawatt hours
Total retail MWh sales in Maine	
Total retail MWh load in Maine including line losses	
Class I Portfolio Requirements:	
Energy Purchases	
GIS Certificate Purchases	
MWhs met by Alternative Compliance Payment	
To be met in following year per cure period in Chapter 311 §7(A)	
Class II Portfolio Requirements:	
Energy Purchases	
GIS Certificate Purchases	
To be met in following year per cure period in Chapter 311 §7(A)	

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10. Chapter 311 (6) (H) – Officer Certification – Each annual report must contain a certification by a corporate officer that the competitive energy provider has complied with the portfolio requirement and that all eligible GIS certificates or eligible resources used to satisfy the portfolio requirement in Maine have not been used by the competitive electricity provider to satisfy any load obligations in other jurisdictions. Signing in the spot below, fulfills this requirement.

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

11. Please complete the following tables with the number of customers in Maine during 2011. Average # of Customers per year should be calculated by taking the sum of the number of customers at the end of each month divided by number of months with customers. Respond “**None**” for service territories when licensed to serve but have had no customer contracts and “**N/A**” when not licensed to serve that customer class/territory.

<b>CMP</b>	Residential	Small Non-Residential	Medium Non-Residential	Large Non-Residential
No. of Months service was provided to Customers during Year				
Average # of Customers during Year*				
Number of Customers at Year-end				

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<b>BHE</b>	Residential	Small Non-Residential	Medium Non-Residential	Large Non-Residential
No. of Months service was provided to Customers during Year				
Average # of Customers during Year*				
Number of Customers at Year-end				

<b>MPS</b>	Residential	Small Non-Residential	Medium Non-Residential	Large Non-Residential
No. of Months service was provided to Customers during Year				
Average # of Customers during Year*				
Number of Customers at Year-end				

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<b>COUS Combined</b>	Residential	Small Non- Residential	Medium Non- Residential	Large Non- Residential
No. of Months service was provided to Customers during Year				
Average # of Customers during Year*				
Number of Customers at Year-end				

12. The MPUC's web page includes a listing of competitive electricity providers. [http://www.maine.gov/mpuc/electricity/list\\_of\\_suppliers.shtml](http://www.maine.gov/mpuc/electricity/list_of_suppliers.shtml) This listing includes a customer contact person with name, address and telephone number and is also separated by T&D territory and customer class. Please verify the information for your company.

- a. Provide the following information, which will be used to update our web page. (Contact should be appropriate for customers seeking service or information about service)

Contact Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone: \_\_\_\_\_  
FAX: \_\_\_\_\_  
E-mail: \_\_\_\_\_

Please indicate if this information has changed from the current listing:

\_\_\_\_\_ Yes      \_\_\_\_\_ No

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- b. Complete the following chart providing information on the territories and customer classes served:

Customer classes as defined in Ch. 305 §1(B), CEP markets to:

Customer Class	Currently Serving	Not Serving but plan to in next 12 months	Licensed to serve but no immediate plans to provide service and not accepting calls or marketing to class
Residential (§1(B)(20))			
Small Non-Residential (§1(B)(21))			
Medium Non-Residential (§1(B)(17))			
Large Non-Residential (§1(B)(14))			

T&D utility territories in which the applicant will do business:

- ☐ Central Maine Power Company
- ☐ Bangor Hydro-Electric Company
- ☐ Maine Public Service Company
- ☐ Eastern Maine Electric Cooperative
- ☐ Fox Island Electric Cooperative
- ☐ Houlton Water Company
- ☐ Kennebunk Light and Power District
- ☐ Madison Department of Electric Works
- ☐ Swans Island Electric Cooperative
- ☐ Van Buren Light & Power District

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13. Maine PUC General Listing – The MPUC maintains an internal listing with contacts who will receive general and specific mailings from the Commission related to it and its specific industry. Provide the following information which will be used to update that information:

Regulatory Contact Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Address: \_\_\_\_\_  
  
Telephone: \_\_\_\_\_  
FAX: \_\_\_\_\_  
E-mail: \_\_\_\_\_